

JUDICIAL COUNCIL OF THE THIRD CIRCUIT
APPLICATION FOR THE POSITION OF
UNITED STATES BANKRUPTCY JUDGE
FOR THE DISTRICT OF DELAWARE (WILMINGTON)

Applications must be submitted via email to bankruptcy_vacancy@ca3.uscourts.gov by the due date that is posted on the Third Circuit Court of Appeals website. Only the completed application form should be submitted. No paper applications will be accepted, and a **complete application form saved in pdf format** must be submitted to be considered. Resumes and cover letters will not be accepted. Writing samples will be requested before the final interviews. The Merit Selection Committee will interview candidates at a location to be determined. Final interviews will be conducted in Philadelphia.

PERSONAL BACKGROUND AND GENERAL INFORMATION

1. Your full name:

All other names by which you have been known.

2. Present Occupation: Title:

3. Addresses & telephone numbers for the following:

Residential (street, city/state/zip, telephone number).

Office (street, city/state/zip, telephone number).

Email:

Mobile number:

4. Place of Birth: Birth Date:

Length of your current residence and the state of your current residence _____

If a naturalized citizen, date and place of your naturalization _____

5. In what countries do you hold citizenship?

6. Are you related by blood or marriage to any United States District or Court of Appeals judge? If so, state judge's name and the relationship.

7. Military Service:

Branch:

Dates of Service:

Rank or rate at discharge:

Type of discharge:

EDUCATION

8. State the name of the high school you attended and your graduation date.
9. List all of the colleges and universities you attended, including non-legal graduate work. List all honors, awards, etc.

<u>School</u>	<u>Dates</u>	<u>Major</u>	<u>Degree</u>
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10. List all of the law schools you attended:

<u>School</u>	<u>Dates</u>	<u>Degree</u>
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11. State in detail your law school honors, awards, law review, other activities or achievements.
12. Are you in compliance with any mandatory CLE requirements in the jurisdiction(s) to which you are admitted? List all seminars, symposia, lectures, or legal meetings in the nature of continuing legal education which you have attended in the past year, stating as to each: date, sponsoring organization, subject, and the extent of your participation.

HEALTH

13. What is the present state of your health?
14. Are you of sound physical and mental health sufficient to perform the essential duties of the office of bankruptcy judge?

PROFESSIONAL AND OCCUPATIONAL BACKGROUND

15. List all courts (including state bar admissions) and administrative bodies having special admission requirements in which you are presently admitted to practice, giving the name of the body, the date of your admission, and indicating whether you are currently on active status there.

16. List chronologically your relevant work experience, including all positions held since graduation from law school. State the names, dates, and addresses of all law and business firms with which you have been associated, and of all governmental agencies or private business organizations by which you have been employed, periods during which you have been a solo practitioner, etc. Include judicial clerkships.
17. If you have practiced law, describe the general nature of your practice, including any legal specialties you possess, and the character of your typical clients. In addition, if your practice changed significantly over time, discuss your prior practice.
18. Have you ever run for or held public office? If so, give the details.
19. Have you been engaged in the active practice of law for at least five years? Yes or No.
20. With respect to the past five years (or the last five years in which you practiced law):
 - a. Describe the frequency of your appearances in court, and estimate what percentages of your appearances were before: federal court; state or local court; administrative bodies; other.
 - b. Estimate how your litigation was divided among: civil; criminal; and bankruptcy work.
 - c. Estimate the number of cases in trial courts of record you tried to verdict or judgment as trial counsel, distinguishing between jury cases to verdict and jury cases started but which did not go to verdict, and also between criminal and civil cases. *Give citations of any reported case.*
 - d. Estimate the number of contested non-jury cases you commenced, distinguishing between civil and criminal cases.
 1. How many of those cases went to judgment after trial on the merits?
 2. How many non-contested cases involving court appearances have you handled?
 - e. Discuss the nature of your bankruptcy practice, if any.
 1. Furnish the number of cases handled by you within the past five years, and give a breakdown of these cases by percentage as to how many were: asset cases; no asset cases; business cases; consumer cases; cases under Chapters 7, 9, 11, 12, and 13.

2. Indicate the number of adversary proceedings handled by you in the last five years.
 3. Describe any other matters referred to you not of a bankruptcy nature, such as SIPA, or Truth-in-Lending cases.
 4. Have you served as a trustee or other court-appointed officer in bankruptcy matters? If yes, provide the approximate number of such cases and list the more important matters in which you served.
- f. Describe any other experience you may have which is relevant to the position for which you are applying.
 - g. List all courts (including state bar admissions) and administrative bodies having special admission requirements in which you are presently admitted to practice, giving the dates of admission in each case.
 - h. State the names and addresses of adversary counsel against whom you have litigated your primary cases over the last five years.
 - i. List all organizations and clubs, other than bar associations and professional societies previously listed, of which you have been a member during the past ten years, including the titles and dates of any offices you have held in each.
 - j. Describe any civic, philanthropic, community, social, or public service activities during the past five years, including any posts or offices held and honors or awards received.
 - k. List any legal books or articles written by you, giving citations and dates.
21. Appellate Practice:
- a. Estimate the number of cases you have personally handled as principal counsel on appeal. List the five most recent, and discuss how much of your appellate work you have orally argued.
 - b. Give citations of decisions.
22. Prior judicial experience:
- a. Have you ever held judicial office, been a candidate, or applied for judicial office including an application for any bankruptcy judgeship position? If so, please state the courts involved and the dates of service or dates of candidacy or application.

- b. Please describe any prior quasi-judicial service, including the agency, the issues involved, the number of cases adjudicated and the dates of service.
 - c. For each position please describe the general nature of the issues adjudicated and the numbers of hearings adjudicated:
23. If you are now an officer, director, or otherwise engaged in the management of any business enterprise, state the name of such enterprise, the nature of the business, the nature of your duties, and discuss whether you intend to resign such position or withdraw from active management participation in the enterprise, should you be appointed to judicial office.
24. State whether during the past five years you have received any fees or compensation of any kind, other than for legal services rendered, from any business enterprise, institution, organization, or association of any kind. If so, identify the source of such compensation; the nature of the business enterprise, institution, organization, or association involved; and the dates such compensation was paid.
25. State any achievements or accomplishments which you believe demonstrate your commitment to equal justice under the law.
26. State any other information which may reflect either positively or adversely on you which you believe should be disclosed in connection with your possible nomination as bankruptcy judge.
27. List **five** individuals as references who are familiar with your professional abilities and personal character and state how long each has known you. Your references must have had adequate opportunities for observing your professional and general conduct and ability. Describe the status of non-law references. Include their names, addresses, and telephone numbers.
- (1)
- (2)
- (3)
- (4)
- (5)
28. Give the names and current phone numbers of not more than five judges (of whom at least three must be active) with whom you are not and have not been associated in the practice of law or in business, and before whom you have appeared recently in matters which would afford them an opportunity to observe your professional conduct and ability. If yours is a non-litigation practice, list such judges, if any, who have had an opportunity to observe you in bar association or other activities. Indicate those before

whom you have appeared in court or other judicial bodies by inserting (A) before the name of the judge.

Name of Judge	Court	Phone	Date and Nature of Most Recent Matter
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29. Give the names and current phone numbers of at least three persons who have worked for you and with you in a subordinate position.

Name	Phone	Relationship
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PROFESSIONAL AND PERSONAL CONDUCT

30. Have you filed appropriate tax returns as required by federal, state, local and other governmental authorities? If not, please explain.
31. Have you ever been arrested, charged, held, or convicted for violation of federal, state, county, or municipal law, regulation or ordinance? (Do not include traffic violations for which a fine and court costs of \$200.00 or less was imposed, unless it also included a jail sentence.) If so, please discuss the details, including date, court and disposition.
32. Have you ever been the subject of a workplace conduct complaint, such as harassment, or any other type of workplace misconduct? If so, please explain.
33. Have you ever been charged in any civil or criminal proceeding with conduct alleged to involve moral turpitude, dishonesty, and/or unethical conduct? If so, please discuss details, including full caption of the case, date, etc.
34. Have you, to your knowledge, ever been under federal, state, or local investigation for possible violation of a criminal statute? If so, please discuss details as fully as known.
35. Have you ever been sued by a client? For each instance, please detail the facts and circumstances, including court and case number, names and addresses of your counsel, and the disposition of the case.
36. Have you or your professional liability insurance carrier ever settled a claim against you for professional malpractice? If so, please discuss.
37. Have you ever been disciplined or cited for a breach of ethics or unprofessional conduct, or been the subject of a complaint to any court, administrative agency, bar association, disciplinary committee, or other professional group? If so, please detail.
38. Has a tax lien or other collection procedure ever been instituted against you? If so, give particulars.

39. To the best of your knowledge, are you in compliance with the tax laws of the federal government and of the state, county, and community of which you are a resident?
40. Do any clubs or private associations to which you belong have a discrimination clause or have a history of barring membership to women or minorities?
41. State any additional education or other experiences you believe would assist you in holding judicial office.

STATEMENT AND CERTIFICATION

As a potential nominee I personally submit this application freely and voluntarily, and thereby indicate my willingness to serve as a United States bankruptcy judge if selected. I declare under penalty of perjury that the information contained herein is accurate, true and complete to the best of my knowledge and belief.

Executed on:

_____	_____*
Date	Name of Applicant acknowledging statement

WAIVER OF CONFIDENTIALITY

I hereby expressly waive any privilege of confidentiality I may have concerning information which the Judicial Council of the Third Circuit, the Third Circuit Court of Appeals or any member of its Search Committee may desire to obtain from any source concerning my qualifications to serve as a United States bankruptcy judge. I specifically authorize all institutions, organizations, schools, physicians, hospitals and individuals to make available to the Judicial Council of the Third Circuit, the Third Circuit Court of Appeals or any member of its Search Committee any information concerning me which they may request. Information may be sought from individuals other than those listed as references, including employers and co-workers. If this presents a problem, I will notify the Circuit Executive in writing in an addendum to this application so that special arrangements can be made.

_____	_____*
Date	Name of Applicant waiving confidentiality

* The Statement and Certification and the Waiver of Confidentiality are to be signed with either electronically generated signatures or "s/ typed name" in the signature locations.