

1 **Instructions For Sex Discrimination Claims Under the Equal Pay Act**

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1 *Introductory Note to Equal Pay Act Instructions*

2 **These Equal Pay Act instructions vary from the pattern established in the previous**
3 **employment discrimination instructions. This different structure is due to the following**
4 **circumstances:**

5 **1) An Equal Pay Act plaintiff is not required to prove an intent to discriminate, so**
6 **there is no basis for a mixed-motive or pretext instruction.**

7 **2) There is no cause of action for hostile work environment under the Equal Pay Act,**
8 **because the Act only grants recovery of equal pay for equal work.**

9 **3) There is no separate cause of action for disparate treatment under the Equal Pay**
10 **Act, because the basic cause of action under the Act is one for disparate treatment in pay.**

11 **4) There is no need for separate instructions for definitions, because there is only one**
12 **basic instruction for an Equal Pay Act claim, and the pertinent definitions are included**
13 **within it.**

14 **5) The damages available in a basic Equal Pay Act claim differ from those available**
15 **under the other employment discrimination statutes, and more importantly differ from the**
16 **damages available in a retaliation action. Thus, the damage instructions need to be**
17 **bifurcated into basic Equal Pay Act claims and retaliation claims.**

18 **6) The Supreme Court decided in *Bostock v. Clayton County*, 140 S. Ct. 1731 (2020),**
19 **that discrimination on the basis of sexual orientation or transgender status is a subset of**
20 **discrimination on account of sex under Title VII. It is not clear if this principle applies to the**
21 **EPA.**

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2 Model

3 In this case the Plaintiff _____ has made a claim under the Equal Pay Act, a statute that
4 prohibits an employer from paying women less than men for jobs that require substantially equal
5 work.

6 Specifically, [plaintiff] claims that she was paid less than (a) male employee(s) even though
7 she performed substantially equal work.

8 [Defendant] claims that [plaintiff's] job was not substantially equal to the jobs performed
9 by the male employee(s). Further, [defendant] asserts that [describe any affirmative defenses].

10 I will now instruct you more fully on the issues you must address in this case.

11

12 Comment

13 Referring to the parties by their names, rather than solely as "Plaintiff" and "Defendant,"
14 can improve jurors' comprehension. In these instructions, bracketed references to "[plaintiff]" or
15 "[defendant]" indicate places where the name of the party should be inserted.

16 The central provision of the Equal Pay Act is 29 U.S.C. §206(d)(1), which provides as
17 follows:

18 (d) Prohibition of sex discrimination.

19 (1) No employer having employees subject to any provisions of this section shall
20 discriminate, within any establishment in which such employees are employed, between
21 employees on the basis of sex by paying wages to employees in such establishment at a
22 rate less than the rate at which he pays wages to employees of the opposite sex in such
23 establishment for equal work on jobs the performance of which requires equal skill, effort,
24 and responsibility, and which are performed under similar working conditions, except
25 where such payment is made pursuant to (i) a seniority system; (ii) a merit system; (iii) a
26 system which measures earnings by quantity or quality of production; or (iv) a differential
27 based on any other factor other than sex: Provided, That an employer who is paying a wage
28 rate differential in violation of this subsection shall not, in order to comply with the
29 provisions of this subsection, reduce the wage rate of any employee.

30 The Supreme Court in *Corning Glass Works v. Brennan*, 417 U.S. 188, 195 (1974),
31 described the intent of the Equal Pay Act:

32 Congress' purpose in enacting the Equal Pay Act was to remedy what was perceived to be
33 a serious and endemic problem of employment discrimination in private industry--the fact

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1 that the wage structure of many segments of American industry has been based on an
2 ancient but outmoded belief that a man, because of his role in society, should be paid more
3 than a woman even though his duties are the same. The solution adopted was quite simple
4 in principle: to require that “equal work will be rewarded by equal wages.”

5 The Equal Pay Act was created to prohibit wage discrimination against women. But the
6 language of the statute is broad enough to permit recovery by a male alleging sex-based wage
7 discrimination. *See, e.g., Board of Regents v. Dawes*, 522 F.2d 380 (8th Cir. 1975) (paying women
8 more than men for substantially equal work violates the Equal Pay Act). These instructions are
9 written using the feminine for the plaintiff, as the case law indicates that virtually all of the
10 plaintiffs are women, but it can of course be modified if the plaintiff is male.

11 *Relationship to Title VII*

12 A claim for sex-based wage discrimination can potentially be brought under either the
13 Equal Pay Act, or Title VII, or both. There are some similarities, and some important differences,
14 between a claim under the Equal Pay Act and a Title VII action for sex-based wage discrimination.

15 The most important similarity between the two actions is that the affirmative defenses set
16 forth in the Equal Pay Act — (i) a seniority system; (ii) a merit system; (iii) a system which
17 measures earnings by quantity or quality of production; and (iv) a differential based on any other
18 factor other than sex — are applicable to Title VII actions for sex-based wage discrimination. This
19 was made clear by the Bennett Amendment to Title VII. *See the discussion in County of*
20 *Washington v. Gunther*, 452 U.S. 161 (1981).

21 The most important differences between the two actions are:

22 1. The Equal Pay Act does not require proof of intent to discriminate. *EEOC v. Delaware*
23 *Dept. of Health and Social Services*, 865 F.2d 1408 (3d Cir. 1989). The plaintiff recovers under
24 the Equal Pay Act by proving that she received lower pay for substantially equal work. In contrast,
25 Title VII disparate treatment claims require proof of an intent to discriminate. *See Lewis and*
26 *Norman, Employment Discrimination Law and Practice* § 7.15 (2d ed. 2001). On the other hand,
27 Title VII does not require the plaintiff to prove the Equal Pay Act statutory requirements of “equal
28 work” and “similar working conditions.” In *Gunther, supra*, the Supreme Court explained the
29 importance of retaining Title VII recovery as an alternative to recovery under the Equal Pay Act.
30 The *Gunther* Court recognized that under the Bennett Amendment to Title VII, a claim for sex-
31 based wage discrimination is subject to the affirmative defenses of the Equal Pay Act. But the
32 Court held that a Title VII action is not similarly subject to the statutory requirements of showing
33 “equal work,” “similar working conditions,” etc. The Court found it important to retain the
34 possibility of recovery for intentional sex-based wage discrimination under Title VII:

35 Under petitioners' reading of the Bennett Amendment, only those sex-based wage
36 discrimination claims that satisfy the "equal work" standard of the Equal Pay Act could be
37 brought under Title VII. In practical terms, this means that a woman who is
38 discriminatorily underpaid could obtain no relief -- no matter how egregious the
39 discrimination might be -- unless her employer also employed a man in an equal job in the

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1 same establishment, at a higher rate of pay. Thus, if an employer hired a woman for a
2 unique position in the company and then admitted that her salary would have been higher
3 had she been male, the woman would be unable to obtain legal redress under petitioners'
4 interpretation. Similarly, if an employer used a transparently sex-biased system for wage
5 determination, women holding jobs not equal to those held by men would be denied the
6 right to prove that the system is a pretext for discrimination. Moreover . . . if the
7 employer required its female workers to pay more into its pension program than male
8 workers were required to pay, the only women who could bring a Title VII action under
9 petitioners' interpretation would be those who could establish that a man performed equal
10 work: a female auditor thus might have a cause of action while a female secretary might
11 not. Congress surely did not intend the Bennett Amendment to insulate such blatantly
12 discriminatory practices from judicial redress under Title VII.

13 452 U.S. at 178-79.

14 2. Title VII's burden-shifting schemes (*see* Instructions 5.1.1, 5.1.2) differ from the
15 burdens of proof applicable to an action under the Equal Pay Act. The difference was explained
16 by the Third Circuit in *Stanziale v. Jargowsky*, 200 F.3d 101, 107-108 (3d Cir. 2000), a case in
17 which the plaintiff brought claims under Title VII, the ADEA, and the Equal Pay Act:

18 Unlike the ADEA and Title VII claims, claims based upon the Equal Pay Act, 29
19 U.S.C. § 206 et seq., do not follow the three-step burden-shifting framework of
20 *McDonnell Douglas*; rather, they follow a two-step burden-shifting paradigm. The plaintiff
21 must first establish a prima facie case by demonstrating that employees of the opposite sex
22 were paid differently for performing "equal work"--work of substantially equal skill, effort
23 and responsibility, under similar working conditions. *E.E.O.C. v. Delaware Dept. of*
24 *Health and Social Services*, 865 F.2d 1408, 1413-14 (3rd Cir. 1989). The burden of
25 persuasion then shifts to the employer to demonstrate the applicability of one of the four
26 affirmative defenses specified in the Act. Thus, the employer's burden in an Equal Pay
27 Act claim -- being one of ultimate persuasion -- differs significantly from its burden in an
28 ADEA [or Title VII] claim. Because the employer bears the burden of proof at trial, in
29 order to prevail at the summary judgment stage, the employer must prove at least one
30 affirmative defense "so clearly that no rational jury could find to the contrary." *Delaware*
31 *Dept. of Health*, 865 F.2d at 1414.

32 The employer's burden is significantly different in defending an Equal Pay Act
33 claim for an additional reason. The Equal Pay Act prohibits differential pay for men and
34 women when performing equal work "*except where such payment is made pursuant to*"
35 one of the four affirmative defenses. 29 U.S.C. § 206(d)(1) (emphasis added). We read
36 the highlighted language of the statute as requiring that the employer submit evidence from
37 which a reasonable factfinder could conclude not merely that the employer's proffered
38 reasons could explain the wage disparity, but that the proffered reasons do in fact explain
39 the wage disparity. See also *Delaware Dept. of Health*, 865 F.2d at 1415 (stating that "the
40 correct inquiry was . . . whether, viewing the evidence most favorably to the [plaintiff], a
41 jury could *only* conclude that the pay discrepancy resulted from" one of the affirmative

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1 defenses (emphasis added)). Thus, unlike an ADEA or Title VII claim, where an employer
2 need not prove that the proffered legitimate nondiscriminatory reasons actually motivated
3 the salary decision, in an Equal Pay Act claim, an employer must submit evidence from
4 which a reasonable factfinder could conclude that the proffered reasons actually motivated
5 the wage disparity.

6 3. The Equal Pay Act exempts certain specific industries from its coverage, including some
7 fishing and agricultural businesses. *See* 29 U.S.C. § 213. These industries are not, however, exempt
8 from Title VII.

9 4. In contrast to Title VII, the Equal Pay Act has no coverage threshold defined in terms of
10 the employer’s number of employees.

11 5. The Equal Pay Act carries a longer limitations period for back pay than does Title VII.
12 As stated in Lewis and Norman, *Employment Discrimination Law and Practice* § 7.20 (2d ed.
13 2001):

14 An EPA action is governed by the FLSA [Fair Labor Standards Act] statute of
15 limitations. The FLSA provides a two year statute of limitations for filing, three years in
16 the case of a “willful” violation. These statutes of limitation compare favorably from the
17 plaintiff’s perspective with the 180-day or 300-day administrative filing deadlines of Title
18 VII.

19 Under Title VII, the statute of limitations for a pay claim begins to run upon the occurrence
20 of an “unlawful employment practice,” which, pursuant to the 2009 amendments to 42 U.S.C. §
21 2000e-5(e), can include “when a discriminatory compensation decision or other practice is
22 adopted, when an individual becomes subject to a discriminatory compensation decision or other
23 practice, or when an individual is affected by application of a discriminatory compensation
24 decision or other practice, including each time wages, benefits, or other compensation is paid,
25 resulting in whole or in part from such a decision or other practice.” *Id.* § 2000e-5(e)(3)(A).¹
26 This amendment brings the accrual date for a Title VII claim more in line with the EPA
27 mechanism, in which an EPA claim arises each time the employee receives lower pay than male
28 employees doing substantially similar work.

29 6. “The Equal Pay Act, unlike Title VII, has no requirement of filing administrative
30 complaints and awaiting administrative conciliation efforts.” *County of Washington v. Gunther*,
31 452 U.S. 161, 175, n.14 (1981).

32 Where the plaintiff claims that wage discrimination is a violation of both Title VII and the
33 Equal Pay Act, it will be necessary to give two sets of instructions, with the proviso that the
34 affirmative defenses provided by the Equal Pay Act (*see* Instructions 11.2.1-11.2.4) will be
35 applicable to both claims.

¹ *See Noel v. The Boeing Co.*, 622 F.3d 266, 273 (3d Cir. 2010) (holding that Section 2000e-5(e)(3)(A) “does not apply to failure-to-promote claims”).

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1 7. The Supreme Court decided in *Bostock v. Clayton County*, 140 S. Ct. 1731 (2020), that
2 discrimination on the basis of sexual orientation or transgender status is a subset of discrimination
3 on account of sex under Title VII. It is not clear if this principle applies to the EPA.

1 **11.1.1 Basic Elements of an Equal Pay Act Claim**

2 **Model**

3 For [plaintiff] to prevail on her claim against [defendant] for violation of the Equal Pay
4 Act, [plaintiff] must prove all of the following elements by a preponderance of the evidence:

5 First: [Defendant] has employed [plaintiff] and (a) male employee(s) in jobs requiring
6 substantially equal skill, effort and responsibility;

7 Second: the two jobs are performed under similar working conditions; and

8 Third: [Plaintiff] was paid a lower wage than the male employee(s) doing substantially
9 equal work.

10 I will now give you further instructions on these three elements. When evaluating whether
11 [plaintiff] has established these three elements, you must keep in mind that [plaintiff] does not
12 have to prove that [defendant] meant to discriminate against [plaintiff] because she was female. In
13 other words, [plaintiff] does not have to prove intent to discriminate.

14 In determining whether [plaintiff’s] job required substantially equal skill, effort, and
15 responsibility as that of the male employee(s), you must compare the jobs and not the individual
16 employees holding those jobs. It is not necessary that the two jobs be identical; the Equal Pay Act
17 requires proof that the performance of the two jobs demands “substantially equal” skill, effort and
18 responsibility. Insignificant, insubstantial, or trivial differences do not matter and may be
19 disregarded. Job classifications, descriptions, or titles are not controlling. It is the actual work or
20 performance requirements of the two jobs that is important.

21 In evaluating whether the performance requirements of the two jobs are substantially equal,
22 you must consider the “skill,” “effort” and “responsibility” required for these jobs. I will now tell
23 you what is meant by these terms, “skill,” “effort” and “responsibility.”

24 *Skill:*

25 In deciding whether the jobs require substantially equal “skill” you should consider such
26 factors as the level of education, experience, training and ability necessary to meet the performance
27 requirements of the respective jobs. Jobs may require “equal skill” even if one job does not require
28 workers to use these skills as often as another job. Remember also that you are to compare the
29 jobs, not the employees. So the fact that a male employee has a qualification that [plaintiff] does
30 not is relevant only if the particular qualification is necessary or useful for performing the job.

31 *Effort:*

32 In deciding whether the jobs require substantially equal “effort” you should consider the
33 mental, physical and emotional requirements for performing the job. Duties that result in mental
34 or physical fatigue or emotional stress, as well as factors that alleviate fatigue and stress, should

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1 be weighed together in assessing the relative effort involved. “Equal effort” does not require people
2 to use effort in exactly the same way. If there is no substantial difference in the amount or degree
3 of effort to do the jobs, they require “equal effort.” However, if the job of the male employee(s)
4 require(s) additional tasks that consume a significant amount of extra time and effort that would
5 not be expected of [plaintiff], then the jobs do not require substantially equal effort.

6 *Responsibility:*

7 In deciding whether the jobs involve substantially equal “responsibility,” you should
8 consider the degree of accountability expected by the employer for a person filling the jobs, as
9 well as the amount of preparation required to perform the job duties. You should also take into
10 account such things as the level of authority delegated to [plaintiff] as compared to the male
11 employee(s), including whether [plaintiff] and the male employee(s) were equally expected to
12 direct the work of others, or to represent [defendant] in dealing with customers or suppliers.
13 Finally, you should consider the consequences to the employer of effective performance in the
14 respective jobs.

15 You should note that “skill,” “effort” and “responsibility” constitute separate tests, each of
16 which must be met in order for the equal pay requirement to apply.

17 *Similar Working Conditions:*

18 With respect to the second element of [plaintiff’s] claim, you must find that the jobs are
19 performed under similar working conditions. The conditions need only be similar; they need not
20 be identical. In deciding whether the working conditions of the two jobs are similar, you should
21 consider the surroundings or the environment in which the work is performed — including any
22 hazards or risks, travel, and weather — to which the respective employees may be exposed. [I
23 instruct you, however, that time of day is not relevant to determining whether working conditions
24 are similar. For example, it is not relevant that some employees work the day shift and some the
25 night shift.]

26 *Wage Comparison:*

27 With respect to the third element of [plaintiff’s] claim, [plaintiff] must prove that she was
28 paid a lower wage than (a) male employee(s) doing substantially equal work. In determining the
29 respective levels of pay, you are to consider all forms of compensation, whether called wages,
30 salary, profit sharing, expense account, use of company car, gasoline allowance, or some other
31 name. Fringe benefits are also included in the comparison of wages under the Equal Pay Act, as
32 are vacation and holiday pay and overtime pay.

34 **Comment**

35 To establish a violation of the Equal Pay Act, a plaintiff must prove that the defendant paid
36 her lower wages than were paid to a man or men for “equal work on jobs the performance of which

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1 requires equal skill, effort and responsibility, and which are performed under similar working
2 conditions.” *Corning Glass Works v. Brennan*, 417 U.S. 188, 195 (1974). The Court in *Corning*
3 *Glass* stated that the element of similar working conditions “encompasses two subfactors:
4 ‘surroundings’ and ‘hazards.’ ” It proceeded to describe these two subfactors:

5 "Surroundings" measures the elements, such as toxic chemicals or fumes, regularly
6 encountered by a worker, their intensity, and their frequency. "Hazards" takes into
7 account the physical hazards regularly encountered, their frequency, and the severity of
8 injury they can cause.

9 The *Corning* Court held that under this definition the time of day at which employees worked
10 could not be relevant to working conditions. Thus, the fact that male employees worked the night
11 shift and females the day shift did not make the jobs unequal. *See also EEOC v. State of Delaware*
12 *Dept. of Health and Social Services*, 865 F.2d 1408, 1417 (3d Cir. 1989) (rejecting the argument
13 that working conditions are dissimilar if one employee sells products inside a store and another
14 sells the products outside the establishment).

15 In the leading case of *Brobst v. Columbus Services Intern.*, 761 F.2d 148, 151 (3d Cir.
16 1985), the court provided a number of guidelines for determining whether the plaintiff has met the
17 burden of proving that she was doing “equal work” within the meaning of the Equal Pay Act. It
18 noted that plaintiffs must establish their case “by proving actual job content; by the same token the
19 employer may not rely merely on the job description.” Reviewing Third Circuit case law, the
20 *Brobst* court analyzed the “equal work” requirement as follows:

21 As our opinions show, the relevant issue is not the name under which the position
22 was classified but what was actually done. *See Usery v. Allegheny County Institution*
23 *District*, 544 F.2d 148 (3d Cir. 1976); *Shultz v. Wheaton Glass Co.*, 421 F.2d 259 (3d Cir.
24 1970).

25 In *Wheaton Glass*, we explained that Congress did not intend to limit the
26 applicability of the Equal Pay Act to cases involving identical work. In *Allegheny County*,
27 we quoted the applicable regulation stating, "Congress did not intend that inconsequential
28 differences in job content would be a valid excuse for payments of a lower wage to an
29 employee of one sex than to an employee of the opposite sex if the two are performing
30 equal work on essentially the same jobs in the same establishment." *Allegheny County*, 544
31 F.2d at 152 (quoting 29 C.F.R. § 800.120 (1974)). Applying that regulation, we held that
32 although beauticians, unlike barbers, used several tools in addition to the basic scissors,
33 clippers and combs "which use requires more effort of performance", this did not support
34 a finding of unequal work. *Id.* at 152.

35 All the courts have agreed that the test is whether the work is "substantially equal".
36 When the Supreme Court reversed this court's determination that work on a night shift was
37 not equal to the same work performed on a day shift, it took a pragmatic approach to the
38 issue of equality, holding that inspection work whether performed during the day or night

11.1.1 Basic Elements

1 is "equal work" within the meaning of the Act. *Corning Glass Works v. Brennan*, 417
2 U.S. at 202-03.

3 The crucial finding on the equal work issue is whether the jobs to be compared have
4 a "common core" of tasks, i.e., whether a significant portion of the two jobs is identical.
5 The inquiry then turns to whether the differing or additional tasks make the work
6 substantially different. . . . Given the fact-intensive nature of the inquiry, summary
7 judgment will often be inappropriate.

8 For other cases in the Third Circuit discussing the concept of "equal work", *see, e.g.*,
9 *Angelo v. Bacharach Instrument Co.*, 555 F.2d 1164, 1172 (3d Cir. 1977) (noting that "mechanical
10 and surface similarities are inadequate to establish the equality of two positions"); *Welde v. Tetley,*
11 *Inc.*, 864 F. Supp. 440, 442 (M.D. Pa. 1994) (noting that the term "skill" "includes an assessment
12 of such factors as experience, training and ability"; "effort" "refers to the physical or mental
13 exertion needed to perform a job"; "responsibility" "concerns the degree of accountability required
14 in performing a job, with emphasis on the importance of the job obligation"; and that the three
15 terms — skill, effort and responsibility — "constitute separate tests, each of which must be met
16 in order for the equal pay standard to apply").

17 For a definition of "wages," see 29 C.F.R. § 1620.10:

18 Under the EPA, the term "wages" generally includes all payments made to [or on behalf
19 of] an employee as remuneration for employment. The term includes all forms of
20 compensation irrespective of the time of payment, whether paid periodically or deferred
21 until a later date, and whether called wages, salary, profit sharing, expense account,
22 monthly minimum, bonus, uniform cleaning allowance, hotel accommodations, use of
23 company car, gasoline allowance, or some other name. Fringe benefits are deemed to be
24 remuneration for employment. . . . [V]acation and holiday pay, and premium payments for
25 work on Saturdays, Sundays, holidays, regular days of rest or other days or hours in excess
26 or outside of the employee's regular days or hours of work are deemed remuneration for
27 employment and therefore wage payments that must be considered in applying the EPA,
28 even though not a part of the employee's "regular rate."

1 **11.1.2 Equal Pay Act — Retaliation**

2 **Model**

3 [Plaintiff] claims that [defendant] discriminated against her because she opposed a practice
4 made unlawful by the Equal Pay Act.

5 To prevail on this claim, [plaintiff] must prove all of the following elements by a
6 preponderance of the evidence:

7 First: [Plaintiff] [filed a complaint] [instituted a proceeding] [made an informal complaint
8 to her employer²] [testified/agreed to testify in a proceeding] asserting rights under the
9 Equal Pay Act.

10 Second: [Plaintiff] was subjected to a materially adverse action at the time, or after, the
11 protected conduct took place.

12 Third: There was a causal connection between [describe challenged activity] and
13 [plaintiff's] [describe plaintiff's protected activity].

14 Concerning the first element, [plaintiff] need not prove the merits of any Equal Pay Act
15 claim, but only that she was acting under a reasonable,³ good faith belief that [her] [or someone
16 else's] rights under the Equal Pay Act were violated.

17 Concerning the second element, the term “materially adverse” means that [plaintiff] must
18 show [describe alleged retaliatory activity] was serious enough that it well might have discouraged
19 a reasonable worker from [describe plaintiff's protected activity]. [The activity need not be
20 related to the workplace or to [plaintiff's] employment.]

21 Concerning the third element, that of causal connection, that connection may be shown in
22 many ways. For example, you may or may not find that there is a sufficient connection through
23 timing, that is [defendant's] action followed shortly after [defendant] became aware of [plaintiff's]
24 [describe activity]. Causation is, however, not necessarily ruled out by a more extended passage
25 of time. Causation may or may not be proven by antagonism shown toward [plaintiff] or a change
26 in demeanor toward [plaintiff].

27 Ultimately, you must decide whether [plaintiff's] [protected activity] had a determinative
28 effect on [describe alleged retaliatory activity]. “Determinative effect” means that if not for
29 [plaintiff's] [protected activity], [describe alleged retaliatory activity] would not have occurred.

² See the Comment to this instruction for a discussion of whether complaints to a private employer are protected activity under the Equal Pay Act.

³ See the Comment for a discussion of the allocation of responsibility for determining the reasonableness of the plaintiff's belief.

1

2 **Comment**

3 29 U.S.C. § 215(a)(3), a provision of the Fair Labor Standards Act, establishes a cause of
4 action for retaliation against employees who assert rights under the Equal Pay Act or the FLSA.
5 Section 215(a)(3) provides that it is unlawful “to discharge or in any other manner discriminate
6 against any employee because such employee has filed any complaint or instituted or caused to be
7 instituted any proceeding under or related to this Act, or has testified or is about to testify in any
8 such proceeding . . .”

9 *Protected Activity*

10 The literal terms of the statute might be read to limit protected conduct to that involved in
11 a formal proceeding.⁴ However, the Supreme Court in *Kasten v. Saint-Gobain Performance*
12 *Plastics Corp.*, 563 U.S. 1 (2011), held that “the statutory term ‘filed any complaint’ includes oral
13 as well as written complaints within its scope,” *id.* at 4. “To fall within the scope of the
14 antiretaliation provision, a complaint must be sufficiently clear and detailed for a reasonable
15 employer to understand it, in light of both content and context, as an assertion of rights protected
16 by the statute and a call for their protection. This standard can be met, however, by oral complaints,
17 as well as by written ones.” *Id.* at 14.

18 The *Kasten* Court declined to decide whether the anti-retaliation provision encompasses
19 complaints made to a private employer rather than to the government. *See id.* at 17 (applying the
20 Court’s usual practice of declining to “consider a separate legal question not raised in the certiorari
21 briefs”). The Third Circuit has not yet decided that question either.⁵ It is worth noting that
22 several district courts within the Circuit, as well as most of the other Courts of Appeal, have held
23 that Section 215(a)(3) should be construed broadly. In a pre-*Kasten* case, *Dougherty v. Ciber,*
24 *Inc.*, 2005 WL 2030473 (M.D. Pa. 2005), a district court provided an analysis of the statute and
25 the case law that still seems relevant:

26 The United States Court of Appeals for the Third Circuit has not directly addressed
27 the issue of whether making an informal complaint to an employer constitutes a protected

⁴ Such a reading would contrast with the retaliation provisions of other acts (such as Title VII and the ADEA) which protect any act in opposition to prohibited practices under the respective statutes, including informal complaints to an employer.

⁵ *Gillispie v. RegionalCare Hosp. Partners Inc.*, 892 F.3d 585 (3d Cir. 2018), which interpreted the whistleblower-protection provision in the Emergency Medical Treatment and Active Labor Act (“EMTALA”), might shed some indirect light on the question whether protected conduct under the FLSA’s anti-retaliation provision includes communications made only to the employer and not to an outside authority. In *Gillispie*, the court ruled that the EMTALA provision does extend to purely internal reports, both because the EMTALA provision contains no reference to “official” reports and because a contrary ruling would incentivize employers to fire employees before they had an opportunity to take their report to an outside authority. *Gillispie*, 892 F.3d at 596-97.

11.1.2 Retaliation

1 activity under section 215(a)(3). Although some courts have narrowly construed the
2 language of section 215(a)(3), many circuits give effect to the remedial nature of the
3 FLSA by affording broad employee protection through a liberal interpretation of section
4 215(a)(3). See, e.g., *Valerio v. Putnam Assoc., Inc.*, 173 F.3d 35, 42-43 (1st Cir.1999)
5 (finding that "filed any complaint" encompasses more than filings with a government
6 agency such that filing of a complaint with an employer may give rise to a retaliation
7 claim); *Lambert v. Ackerly*, 180 F.3d 997, 1005 (9th Cir.1999) (holding that "filed any
8 complaint" "extends to employees who complain to their employer about an alleged
9 violation of the Act"); *EEOC v. Romeo Cmty. Sch.*, 976 F.2d 985, 989-90 (6th Cir.1992)
10 (holding that plaintiff's oral complaint to her employer was sufficient to trigger the
11 protection of § 215(a)(3)); *Love v. RE/MAX of Am., Inc.*, 738 F.2d 383, 387 (10th Cir.1984)
12 (holding that § 215(a)(3) "also applies to the unofficial assertion rights through complaints
13 at work"); *EEOC v. White & Son Enters.*, 881 F.2d 1006, 1011 (11th Cir.1975) (concluding
14 that even though the aggrieved parties did not file a formal complaint with a government
15 agency, "the unofficial complaints expressed by the women to their employer about
16 unequal pay constitute an assertion of rights protected under the [FLSA]").

17 Similarly, the United States Court of Appeals for the Third Circuit has instructed
18 that the language of the anti-retaliation provision should be construed liberally. *Brock v.*
19 *Richardson*, 812 F.2d 121, 123-24 (3d Cir.1987) (holding that the remedial provisions of
20 the Fair Labor Standards Act "must not be interpreted or applied in a narrow, grudging
21 manner"). In *Brock*, an employee was discharged because the employer mistakenly
22 believed that the employee filed a complaint with the Department of Labor. The Third
23 Circuit concluded that the discharge created the "same atmosphere of intimidation as does
24 the discharge of an employee who did in fact complain of FLSA violations." *Id.* at 125; see
25 also *Fogarty v. Boles*, 121 F.3d 886, 891 (3d Cir.1997) (holding that because the FLSA is
26 aimed at eliminating an atmosphere of intimidation, the discharge of employees under the
27 mistaken impression that they had participated in protected statutory activity is enough to
28 establish a violation of the Act). The Third Circuit noted that courts have looked to the
29 "animating spirit" of the anti-retaliation provision in "applying it to activities that might
30 have not been explicitly covered by the language" of section 215(a)(3). *Brock*, 812 F.3d at
31 124. Further, the Court reasoned that the FLSA was designed to encourage employees to
32 report suspected violations and therefore "the key to construing the anti-retaliation
33 provision is the need to prevent employees' fear of economic retaliation for voicing
34 grievances about substandard conditions." *Id.*

35 In applying the interpretation announced in *Brock*, several district courts within the
36 Third Circuit have held that informal complaints to employers are protected activities under
37 section 215(a)(3). See, e.g., *Chennisi v. Communications Constr. Group*, 2005 WL 387594,
38 at *2 (E.D. Pa. Feb.17, 2005); *Coyle v. Madden*, No. 03-4433, 2003 WL 22999222 (E.D.
39 Pa. Dec.17, 2003). In concluding that an internal complaint to an employer regarding a
40 violation of the FLSA is a protected activity under § 215(a)(3), one court in the Eastern
41 District reasoned that in order to achieve the "remedial and humanitarian" purpose of the

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1 FLSA, it is necessary to make an internal complaint a protected activity. *Chennisi*, 2005
2 WL 387594, at *2. Under a narrower construction, informal settlement of complaints
3 would be discouraged, as an employee would be required to take legal action in order to
4 preserve her FLSA rights. Accordingly, this Court agrees that the Third Circuit's liberal
5 interpretation of the phrase "filed any complaint" affords employees who make informal
6 complaints protection under section 215(a)(3).

7 Accordingly, the instruction lists informal complaints to the employer as one of the
8 activities protected from retaliation by the employer.

9 In accord with the retaliation instructions in other Chapters (*see, e.g.*, Instruction 5.1.7
10 concerning Title VII retaliation claims), Instruction 11.1.2 requires a "reasonable, good faith
11 belief" that an Equal Pay Act violation occurred. The statute itself does not explicitly require
12 reasonableness and good faith. Tracking the approach taken in instructions from other circuits
13 concerning retaliation under various employment discrimination statutes, Instruction 11.1.2 directs
14 the jury to determine both the good faith and the reasonableness of the plaintiff's belief that an
15 Equal Pay Act violation occurred. *See* Fifth Circuit Committee Note to Instruction 11.6.1 (Title
16 VII retaliation); Seventh Circuit Committee Comment to Instruction 3.02 (retaliation instruction
17 for use in Title VII, § 1981, and ADEA cases); Eleventh Circuit Instruction 4.21 (Section 1981
18 retaliation); Eleventh Circuit Instruction 4.22 (retaliation claims under Title VII, ADEA, ADA,
19 and FLSA); *see also* Eighth Circuit Instruction 10.41 (retaliation claim (regarding opposition to
20 harassment or discrimination) under Title VII and other federal discrimination laws; instruction
21 uses phrase "reasonably believed"); *id.* Notes on Use, Note 5 (using phrase "reasonably and in
22 good faith believe"); *compare* Ninth Circuit Instruction & Comment 10.3 (Title VII retaliation)
23 (discussing reasonableness requirement in the comment but not in the model instruction). In cases
24 where the protected nature of the plaintiff's activity is not in dispute, this portion of the instruction
25 can be modified and the court can simply instruct the jury that specified actions by the plaintiff
26 constituted protected activity.

27 *Standard for Actionable Retaliation*

28 The Supreme Court in *Burlington N. & S.F. Ry. v. White*, 548 U.S. 53, 68 (2006), held that
29 a cause of action for retaliation under Title VII lies whenever the employer responds to protected
30 activity in such a way "that a reasonable employee would have found the challenged action
31 materially adverse, which in this context means it well might have dissuaded a reasonable worker
32 from making or supporting a charge of discrimination." (citations omitted). The Court elaborated
33 on this standard in the following passage:

34 We speak of *material* adversity because we believe it is important to separate
35 significant from trivial harms. Title VII, we have said, does not set forth "a general civility
36 code for the American workplace." *Oncale v. Sundowner Offshore Services, Inc.*, 523 U.S.
37 75, 80, 118 S. Ct. 998, 140 L. Ed. 2d 201 (1998). An employee's decision to report
38 discriminatory behavior cannot immunize that employee from those petty slights or minor

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1 annoyances that often take place at work and that all employees experience. See 1 B.
2 Lindemann & P. Grossman, *Employment Discrimination Law* 669 (3d ed. 1996) (noting
3 that "courts have held that personality conflicts at work that generate antipathy" and
4 "'snubbing' by supervisors and co-workers" are not actionable under § 704(a)). The anti-
5 retaliation provision seeks to prevent employer interference with "unfettered access" to
6 Title VII's remedial mechanisms. It does so by prohibiting employer actions that are likely
7 "to deter victims of discrimination from complaining to the EEOC," the courts, and their
8 employers. And normally petty slights, minor annoyances, and simple lack of good
9 manners will not create such deterrence. See 2 EEOC 1998 Manual § 8, p. 8-13.

10 We refer to reactions of a *reasonable* employee because we believe that the
11 provision's standard for judging harm must be objective. An objective standard is judicially
12 administrable. It avoids the uncertainties and unfair discrepancies that can plague a judicial
13 effort to determine a plaintiff's unusual subjective feelings. We have emphasized the need
14 for objective standards in other Title VII contexts, and those same concerns animate our
15 decision here. See, e.g., [*Pennsylvania State Police v. Suders*, 542 U.S., at 141, 124 S. Ct.
16 2342, 159 L. Ed. 2d 204 (constructive discharge doctrine); *Harris v. Forklift Systems, Inc.*,
17 510 U.S. 17, 21, 114 S. Ct. 367, 126 L. Ed. 2d 295 (1993) (hostile work environment
18 doctrine).

19 We phrase the standard in general terms because the significance of any given act
20 of retaliation will often depend upon the particular circumstances. Context matters. . . . A
21 schedule change in an employee's work schedule may make little difference to many
22 workers, but may matter enormously to a young mother with school age children. A
23 supervisor's refusal to invite an employee to lunch is normally trivial, a nonactionable petty
24 slight. But to retaliate by excluding an employee from a weekly training lunch that
25 contributes significantly to the employee's professional advancement might well deter a
26 reasonable employee from complaining about discrimination. Hence, a legal standard that
27 speaks in general terms rather than specific prohibited acts is preferable, for an act that
28 would be immaterial in some situations is material in others.

29 Finally, we note that . . . the standard is tied to the challenged retaliatory act, not
30 the underlying conduct that forms the basis of the Title VII complaint. By focusing on the
31 materiality of the challenged action and the perspective of a reasonable person in the
32 plaintiff's position, we believe this standard will screen out trivial conduct while effectively
33 capturing those acts that are likely to dissuade employees from complaining or assisting in
34 complaints about discrimination.

35 548 U.S. at 68-70 (some citations omitted).

36 The anti-retaliation provision of Title VII, construed by the Court in *White*, is very similar
37 to the Equal Pay Act provision on retaliation, *supra*. This instruction therefore follows the

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1 guidelines of the Supreme Court’s decision in *White*.⁴

2 *No Requirement That Retaliation Be Job-Related To Be Actionable*

3 The Supreme Court in *Burlington N. & S.F. Ry. v. White*, 548 U.S. 53, 64 (2006), held that
4 retaliation need not be job-related to be actionable under Title VII. In doing so, the Court rejected
5 authority from the Third Circuit (and others) requiring that the plaintiff suffer an adverse
6 employment action in order to recover for retaliation. The Court distinguished Title VII’s
7 retaliation provision from its basic anti-discrimination provision, which does require an adverse
8 employment action. The Court noted that unlike the basic anti-discrimination provision, which
9 refers to conditions of employment, the anti-retaliation provision is broadly worded to prohibit *any*
10 discrimination by an employer in response to protected activity.

11 The Equal Pay Act anti-retaliation provision is very similar to the Title VII provision
12 construed in *White*. Moreover, it not only bars “discharge” but broadly prohibits “any other ...
13 discriminat[ion].” Accordingly, this instruction contains bracketed material to cover a plaintiff’s
14 claim for retaliation that is not job-related. The instruction does not follow pre-*White* Third Circuit
15 authority which required the plaintiff in a retaliation claim to prove that she suffered an adverse
16 employment action. *See, e.g., Nelson v. Upsala College*, 51 F.3d 383, 386 (3d Cir. 1995)(requiring
17 the plaintiff in a retaliation case to prove among other things that “the employer took an adverse
18 employment action against her”). For further discussion of *White*, see the Comment to Instruction
19 5.1.7.

20 *Damages*

21 It is important to note that the damages available for retaliation differ from the damages
22 available for a violation of the Equal Pay Act itself. Under the Equal Pay Act, the plaintiff is
23 entitled to the pay that she should have received for equal work, with that figure doubled as
24 liquidated damages. *See* Instructions 11.3.1-11.3.2. A cause of action for retaliation does not seek
25 equal pay recovery per se; indeed a person may engage in protected activity by complaining about
26 wage discrimination even if that person is not the victim of the wage discrimination. The damages
27 asserted in a retaliation claim are those suffered by the plaintiff from the retaliatory act. Thus, the
28 damages in a retaliation cause of action are the same as those provided in any other action for
29 damages, e.g., pain and suffering, lost wages, etc. *See* Instruction 11.3.7.

⁴ The Committee has not attempted to determine whether *Thompson v. North American Stainless, LP*, 131 S. Ct. 863 (2011) – in which the Supreme Court recognized a right of action under Title VII for certain third parties who engaged in no protected activity but were subjected to reprisals based on the protected activities of another employee – provides authority for recognition of similar third-party retaliation claims under the Equal Pay Act. For a discussion of *Thompson*, see Comment 5.1.7.

11.1.2 Retaliation

1 *Determinative Effect*

2 Instruction 11.1.2 requires the plaintiff to show that the plaintiff’s protected activity had a
3 “determinative effect” on the allegedly retaliatory activity. This language is similar to that
4 provided in Instruction 5.1.7 for Title VII retaliation claims. Prior to 2013, courts had recognized
5 a distinction between pretext and mixed-motive cases in the context of Title VII retaliation claims.
6 In 2013, however, the Supreme Court held that the mixed-motive proof framework is unavailable
7 for Title VII retaliation claims. See *Univ. of Tex. Sw. Med. Ctr. v. Nassar*, 133 S. Ct. 2517, 2533
8 (2013) (“Title VII retaliation claims must be proved according to traditional principles of but-for
9 causation, not the lessened causation test stated in [42 U.S.C.] § 2000e–2(m). This requires proof
10 that the unlawful retaliation would not have occurred in the absence of the alleged wrongful action
11 or actions of the employer.”). The *Nassar* Court reasoned that Congress legislated against a
12 background tort principle of “but for” causation, see *Nassar*, 133 S. Ct. at 2523; that Title VII’s
13 retaliation provision uses the word “because,” which is incompatible with a mixed-motive test, see
14 *id.* at 2528; that Congress would have structured the statutory framework differently had it wished
15 to encompass Title VII retaliation claims among those eligible for the statutory mixed-motive test
16 set forth in 42 U.S.C. ‘§ 2000e-2(m) and 2000e 5(g)(2)(B), see *id.* at 2529; that policy
17 considerations support a restrictive approach to the standards of proof for retaliation claims, see
18 *id.* at 2531-32; and that the “careful balance” that Congress set in the Civil Rights Act of 1991
19 forecloses the use of the Price Waterhouse mixed-motive test for Title VII retaliation claims, *id.*
20 at 2534. See also *Comcast Corp. v. Nat’l Ass’n of African American-Owned Media*, 140 S. Ct.
21 1009, 1014 (2020) (rejecting mixed motive framework for claims under Section 1981; the Court
22 viewed but-for causation as a default principle for tort suits, and it saw no reason in the text of the
23 statute to depart from that “‘background’ rule.”).

24 The Court of Appeals has not applied a mixed-motive framework to Equal Pay Act
25 retaliation claims, and, in light of these decisions, it is unclear whether such a framework
26 can appropriately govern such claims.

1 **11.2.1 Equal Pay Act Defenses — Seniority System**

2 **Model**

3 If you find that [plaintiff] has proved each of the elements that she must establish in
4 support of her claim under the Equal Pay Act, you must then consider [defendant's] defense.
5 [Defendant] contends that the difference in pay between the two jobs was the result of a bona fide
6 seniority system. In order to establish that a seniority system exists, [defendant] must show that it
7 uses a system that gives employees rights and benefits that improve the longer they work for
8 [defendant].

9 In determining whether [defendant] has demonstrated a bona fide seniority system, you
10 should consider that a valid seniority system ordinarily includes rules that

- 11 1. define when the seniority time clock begins ticking;
- 12 2. specify how and when a particular person's seniority may be lost;
- 13 3. define which time will count toward the accrual of seniority and which will not;
- 14 4. specify the types of employment conditions that will be governed by seniority and those
15 that will not.

16 For [defendant] to successfully demonstrate a bona fide seniority system, [defendant] must
17 regularly consider seniority rather than doing so randomly or on a case-by-case basis, and
18 [defendant] must apply its system uniformly in its decisions.

19 [[Plaintiff] contends that [defendant's] seniority system was not bona fide, but rather was
20 a pretext, or excuse, for paying higher wages to men for equal work. Remember that [plaintiff]
21 does not have to prove that [defendant] intended to discriminate. However, evidence of intent to
22 discriminate may be considered in determining whether [defendant's] seniority system was
23 implemented in good faith or instead was a cover-up for paying higher wages to men for equal
24 work.].

25 If you find [defendant] has proved by a preponderance of the evidence that the difference
26 in pay was the result of a bona fide seniority system, your verdict must be for [defendant]. If
27 [defendant] has not proved this defense, then you must find for [plaintiff].

28
29 **Comment**

30 Wage differentials based on a bona fide seniority system do not violate the Equal Pay Act.
31 29 U.S.C. § 206(d)(1). *See, e.g., Strag v. Board of Trustees*, 55 F.3d 943, 948 (4th Cir. 1995); *Irby*
32 *v. Bittick*, 44 F.3d 949, 954 (11th Cir. 1995). In order to be relied on as an affirmative defense, the
33 seniority system must be applied fairly among all employees unless there are defined exceptions

11.2.1 Seniority System

1 that are known and understood by the employees. *Irby v. Bittick*, 44 F.3d 949, 954 (11th Cir.
2 1995) (if seniority system is to be relied upon as affirmative defense, employer must be able to
3 identify standards for measuring seniority that are systematically applied and observed).

4 The instruction provides for the possibility that the plaintiff will introduce evidence that
5 the defendant’s affirmative defense is a pretext for sex discrimination. In *EEOC v. State of*
6 *Delaware Dept. of Health and Social Services*, 865 F.2d 1408, 1414, n.8 (3d Cir. 1989), the court
7 stated that to prevail on an Equal Pay Act claim, “a plaintiff need not prove that the employer
8 intended to discriminate. Such a showing, however, may be used to establish that an employer’s
9 reliance on an affirmative defense is merely a pretext for discrimination.” The court favorably
10 cited the opinion in *Maxwell v. City of Tucson*, 803 F.2d 444, 446 (9th Cir. 1986), which stated
11 that “the appropriate inquiry to determine if the factor put forward is a pretext, is whether the
12 employer has used the factor reasonably in light of the employer’s stated purpose as well as its
13 other practices.”

14 Under the Equal Pay Act, the defendant at all times retains the burden of proving a
15 legitimate reason for the discrepancy in pay. *See Stanziale v. Jargowsky*, 200 F.3d 101, 107-08 (3d
16 Cir. 2000) (“Unlike the ADEA and Title VII claims, claims based upon the Equal Pay Act, 29
17 U.S.C. § 206 et seq., do not follow the three-step burden-shifting framework of *McDonnell*
18 *Douglas*; rather, they follow a two-step burden-shifting paradigm.”); *Henderson v. Chartiers*
19 *Valley School*, 136 Fed. Appx. 456, 459 (3d Cir. 2005) (approving an instruction that the
20 defendant “must prove that a factor other than sex caused them to set the salaries that they did”
21 and giving no indication that the plaintiff has the burden of proving pretext by a preponderance of
22 the evidence).⁶ Accordingly, the instruction does not impose a burden on the plaintiff of proving
23 pretext by a preponderance of the evidence.

⁶ At least one district court opinion has stated that where the employer demonstrates that there is a legitimate reason for the discrepancy in pay, “the burden shifts back to the plaintiff to establish by a preponderance of the evidence that the reason for the disparity presented by defendant is only a pretext.” *Welde v. Tetley*, 864 F. Supp. 440 (M.D. Pa. 1994). But there is nothing in the Equal Pay Act to justify shifting the burden of disproving the affirmative defense to the plaintiff.

11.2.2 Equal Pay Act Defenses — Merit System

Model

If you find that [plaintiff] has proved each of the elements that she must establish in support of her claim under the Equal Pay Act, you must then consider [defendant's] defense. [Defendant] contends that the difference in pay between the two jobs was the result of a bona fide merit system.

In order to establish the existence of a bona fide merit system, [defendant] must show an organized and structured procedure under which employees are systematically evaluated according to established standards that are designed to determine the relative merits of the employees. To be a bona fide merit system, the system must reward persons because they performed better; the reward must not be based upon their positions, but upon their personal performance. In order to be valid, [defendant] must inform its employees of the existence of the merit system, either by writing or in some other way, and it must not be based upon gender.

[[Plaintiff] contends that [defendant's] merit system was not bona fide, but rather was a pretext, or excuse, for paying higher wages to men for equal work. Remember that [plaintiff] does not have to prove that [defendant] intended to discriminate. However, evidence of intent to discriminate may be considered in determining whether [defendant's] merit system was implemented in good faith or instead was a cover-up for paying higher wages to men for equal work.].

If you find [defendant] has proved by a preponderance of the evidence that the difference in pay was the result of a bona fide merit system, your verdict must be for [defendant]. If [defendant] has not proved this defense, then you must find for [plaintiff].

Comment

A merit system is an affirmative defense under the Equal Pay Act. 29 U.S.C. § 206(d)(2). A merit system is an organized, structured procedure under which employees are evaluated systematically according to predetermined criteria. *Ryduchowski v. Port Authority*, 203 F.3d 135, 142-43 (2d Cir. 2000). An employer must show that its merit system is administered, if not formally, at least systematically and objectively. *Maxwell v. City of Tucson*, 803 F.2d 444, 447 (9th Cir. 1986). The mere existence of a written set of job descriptions, regularly evaluated, does not constitute a “merit system” where there is no organized means of advancement or reward for merit. *EEOC v. Sears, Roebuck & Co.*, 839 F.2d 302, 345-46 (7th Cir. 1988).

The existence of the merit system must be communicated to the affected employees. *Ryduchowski v. Port Authority*, 203 F.3d 135, 143 (2d Cir. 2000) (employees must be aware of merit system and merit system must not be gender-based); *EEOC v. Whitin Machine Works*, 635 F.2d 1095, 1098 n.6 (4th Cir. 1980) (defendant's burden of establishing a merit system was not met where defendant maintained no written guidelines and had “failed to communicate the

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1 essential components of the putative sex neutral pay system to its employees”).

2 The instruction provides for the possibility that the plaintiff will introduce evidence that
3 the defendant’s affirmative defense is a pretext for sex discrimination. In *EEOC v. State of*
4 *Delaware Dept. of Health and Social Services*, 865 F.2d 1408, 1414, n.8 (3d Cir. 1989), the court
5 stated that to prevail on an Equal Pay Act claim, “a plaintiff need not prove that the employer
6 intended to discriminate. Such a showing, however, may be used to establish that an employer’s
7 reliance on an affirmative defense is merely a pretext for discrimination.” The court favorably
8 cited the opinion in *Maxwell v. City of Tucson*, 803 F.2d 444, 446 (9th Cir. 1986), which stated
9 that “the appropriate inquiry to determine if the factor put forward is a pretext, is whether the
10 employer has used the factor reasonably in light of the employer's stated purpose as well as its
11 other practices.”

12 Under the Equal Pay Act, the defendant at all times retains the burden of proving a
13 legitimate reason for the discrepancy in pay. *See Stanziale v. Jargowsky*, 200 F.3d 101, 107-08 (3d
14 Cir. 2000) (“Unlike the ADEA and Title VII claims, claims based upon the Equal Pay Act, 29
15 U.S.C. § 206 et seq., do not follow the three-step burden-shifting framework of *McDonnell*
16 *Douglas*; rather, they follow a two-step burden-shifting paradigm.”); *Henderson v. Chartiers*
17 *Valley School*, 136 Fed. Appx. 456, 459 (3d Cir. 2005) (approving an instruction that the
18 defendant “must prove that a factor other than sex caused them to set the salaries that they did”
19 and giving no indication that the plaintiff has the burden of proving pretext by a preponderance of
20 the evidence).⁷ Accordingly, the instruction does not impose a burden on the plaintiff of proving
21 pretext by a preponderance of the evidence.

⁷ At least one district court opinion has stated that where the employer demonstrates that there is a legitimate reason for the discrepancy in pay, “the burden shifts back to the plaintiff to establish by a preponderance of the evidence that the reason for the disparity presented by defendant is only a pretext.” *Welde v. Tetley*, 864 F. Supp. 440 (M.D. Pa. 1994). But there is nothing in the Equal Pay Act to justify shifting the burden of disproving the affirmative defense to the defendant.

11.2.3 System Measuring Earnings By Quantity or Quality

11.2.3 Equal Pay Act Defenses — System Measuring Earnings By Quantity or Quality

Model

If you find that [plaintiff] has proved each of the elements that she must establish in support of her claim under the Equal Pay Act, you must then consider [defendant's] defense. [Defendant] contends that the difference in pay between the two jobs was the result of a bona fide system that measures employee earnings by quantity or quality of the work.

In order to prove the existence of a bona fide system measuring quantity or quality, [defendant] must show that such a system is in place and has been applied regularly and consistently. A valid system under this exception measures the employee's earnings by the quantity or quality of each employee's production.

The quantity test refers to equal dollar per unit compensation rates. [Defendant] is not liable for wage discrimination if it has implemented a system under which two employees receive the same pay rate but one receives more total compensation because that employee produces more.

The quality test refers to increased compensation for higher quality products. [Defendant] is not liable for wage discrimination if it regularly rewards employees of both sexes equally for producing higher quality products through compensation incentives.

[[Plaintiff] contends that [defendant's] system of measuring quantity or quality was not bona fide, but rather was a pretext, or excuse, for paying higher wages to men for equal work. Remember that [plaintiff] does not have to prove that [defendant] intended to discriminate. However, evidence of intent to discriminate may be considered in determining whether [defendant's] system was implemented in good faith or instead was a cover-up for paying higher wages to men for equal work.].

If you find [defendant] has proved by a preponderance of the evidence that the difference in pay was the result of a bona fide system tying wages to quantity or quality, your verdict must be for [defendant]. If [defendant] has not proved this defense, then you must find for [plaintiff].

Comment

The Equal Pay Act provides an affirmative defense if the employer has a system under which a comparable employee receives more total compensation because he produces more value for the employer. 29 U.S.C. § 206(d)(3). Thus, in *Hodgson v. Robert Hall Clothes, Inc.*, 473 F.2d 589 (3d Cir. 1973), the court held that an affirmative defense for unequal pay had been established where one clothing department in a store was more profitable than another.

The instruction provides for the possibility that the plaintiff will introduce evidence that

11.2.3 System Measuring Earnings By Quantity or Quality

1 the defendant’s affirmative defense is a pretext for sex discrimination. In *EEOC v. State of*
2 *Delaware Dept. of Health and Social Services*, 865 F.2d 1408, 1414, n.8 (3d Cir. 1989), the court
3 stated that to prevail on an Equal Pay Act claim, “a plaintiff need not prove that the employer
4 intended to discriminate. Such a showing, however, may be used to establish that an employer’s
5 reliance on an affirmative defense is merely a pretext for discrimination.” The court favorably
6 cited the opinion in *Maxwell v. City of Tucson*, 803 F.2d 444, 446 (9th Cir. 1986), which stated
7 that “the appropriate inquiry to determine if the factor put forward is a pretext, is whether the
8 employer has used the factor reasonably in light of the employer's stated purpose as well as its
9 other practices.”

10 Under the Equal Pay Act, the defendant at all times retains the burden of proving a
11 legitimate reason for the discrepancy in pay. See *Stanziale v. Jargowsky*, 200 F.3d 101, 107-08 (3d
12 Cir. 2000) (“Unlike the ADEA and Title VII claims, claims based upon the Equal Pay Act, 29
13 U.S.C. § 206 et seq., do not follow the three-step burden-shifting framework of *McDonnell*
14 *Douglas*; rather, they follow a two-step burden-shifting paradigm.”); *Henderson v. Chartiers*
15 *Valley School*, 136 Fed. Appx. 456, 459 (3d Cir. 2005) (approving an instruction that the
16 defendant “must prove that a factor other than sex caused them to set the salaries that they did”
17 and giving no indication that the plaintiff has the burden of proving pretext by a preponderance of
18 the evidence).⁸ Accordingly, the instruction does not impose a burden on the plaintiff of proving
19 pretext by a preponderance of the evidence.

⁸ At least one district court opinion has stated that where the employer demonstrates that there is a legitimate reason for the discrepancy in pay, “the burden shifts back to the plaintiff to establish by a preponderance of the evidence that the reason for the disparity presented by defendant is only a pretext.” *Welde v. Tetley*, 864 F. Supp. 440 (M.D. Pa. 1994). But there is nothing in the Equal Pay Act to justify shifting the burden of disproving the affirmative defense to the defendant.

1 **11.2.4 Equal Pay Act Defenses — Factor Other Than Sex**

2 **Model**

3 If you find that [plaintiff] has proved each of the elements that she must establish in support
4 of her claim under the Equal Pay Act, you must then consider [defendant's] defense. [Defendant]
5 contends that the difference in pay between the two jobs was the result of a factor other than sex.
6 Specifically, [defendant] claims that the difference in pay is attributable to [employee's education]
7 [employee's experience] [training programs in which employees participate] [any other factor
8 other than sex on which defendant has presented sufficient evidence to raise a jury question]. To
9 establish that this defense, [defendant] must prove that [plaintiff's] sex played no part in the
10 difference in wages.

11 [[Plaintiff] contends that [defendant's] explanation for the difference in pay is only a
12 pretext, or excuse, for paying higher wages to men for equal work. Remember that [plaintiff] does
13 not have to prove that [defendant] intended to discriminate. However, evidence of intent to
14 discriminate may be considered in determining whether [defendant's] explanation is valid or
15 instead is a cover-up for paying higher wages to men for equal work.].

16 If you find [defendant] has proved by a preponderance of the evidence that the difference
17 in pay was the result of [describe defendant's explanation], your verdict must be for [defendant].
18 However, if you determine that [defendant] has failed to prove that the difference in pay was
19 caused by this factor other than sex, you must decide in favor of [plaintiff].

20
21 **Comment**

22 29 U.S.C. § 206(d) provides for a catch-all affirmative defense: plaintiff is not entitled to
23 recovery of equal pay if the defendant can prove that the disparity in pay was due to a factor other
24 than sex. The Third Circuit has held that in order for the defendant to meet its burden, it must show
25 “that the proffered reasons actually motivated the wage disparity.” *Stanziale v. Jargowsky*, 200
26 F.3d 101, 107-08 (3d Cir. 2000).

27 A “factor other than sex” can be found when the pay disparity “results from unique
28 characteristics of the same job; from an individual’s experience, training, or ability; or from special
29 exigent circumstances connected with the business.” *Glenn v. General Motors Corp.*, 841 F.2d
30 1567, 1571 (11th Cir. 1988). An employer does not establish an affirmative defense by claiming
31 that “market forces” justify a disparity in pay for equal work, i.e., that men had to be paid higher
32 because otherwise they would not have taken the job. *Corning Glass Works v. Brennan*, 417 U.S.
33 188, 205 (1974); *Martin v. Cooper Elec. Supply Co.*, 940 F.2d 896, 910 (3d Cir. 1991).

34 *Education*

35 Differences in education may justify differences in wages, but only where the education is
36 relevant to successful performance of the job. *See* 29 C.F.R. § 1620.15 (“Possession of a skill not

11.2.4 Factor Other Than Sex

1 needed to meet requirements of the job cannot be considered in making a determination regarding
2 equality of skill.”). *See, e.g., Glunt v. GES Exposition Services, Inc.*, 123 F. Supp. 2d 847, 860-61
3 (D. Md. 2000) (additional formal education is a bona fide reason under the Equal Pay Act for
4 paying different wages; however, the defense is applicable only when superior formal education
5 is actually relevant and necessary to the job in question); *Bullock v. Pizza Hut, Inc.*, 429 F. Supp.
6 424, 430 (M.D. La.1977) (fact that one male manager had three years of college did not justify
7 disparity between his salary and female plaintiffs with better performance records absent showing
8 that a college education was a prerequisite to employment as manager or that employer derived
9 any great benefit from manager having such qualifications).

10 *Experience:*

11 Less experience can be a legitimate factor other than sex for lower pay. *See, e.g., EEOC*
12 *v. New York Times Broadcasting Service, Inc.*, 542 F.2d 356, 359-60 (6th Cir. 1976) (evidence that
13 starting salaries paid to new employees bore direct relationship to prior broadcast experience was
14 sufficient to show that discrepancy in pay was not based on considerations of sex); *Stanley v.*
15 *University of Southern California*, 178 F.3d 1069, 1075 (9th Cir. 1999) (male coach had coached
16 Olympic team, written book on basketball, and had 14 years more experience than the plaintiff,
17 the coach of the women’s basketball team).

18 *Training Program:*

19 Wage differentials arising because one worker is participating in a bona fide training
20 program have been found to be based on a factor other than sex. *See, e.g., Shultz v. First Victoria*
21 *Nat'l Bank*, 420 F.2d 648, 654 (5th Cir. 1969). A training program must have substance and
22 significance independent of the trainee's regular job. *Hodgson v. Behrens Drug Co.*, 475 F.2d 1041,
23 1047 (5th Cir. 1973) (training program coterminous with “man's work” cannot qualify as a factor
24 other than sex).

25 *Pretext:*

26 The instruction provides for the possibility that the plaintiff will introduce evidence that
27 the defendant’s affirmative defense is a pretext for sex discrimination. In *EEOC v. State of*
28 *Delaware Dept. of Health and Social Services*, 865 F.2d 1408, 1414, n.8 (3d Cir. 1989), the court
29 stated that to prevail on an Equal Pay Act claim, “a plaintiff need not prove that the employer
30 intended to discriminate. Such a showing, however, may be used to establish that an employer’s
31 reliance on an affirmative defense is merely a pretext for discrimination.” The court favorably
32 cited the opinion in *Maxwell v. City of Tucson*, 803 F.2d 444, 446 (9th Cir. 1986), which stated
33 that “the appropriate inquiry to determine if the factor put forward is a pretext, is whether the
34 employer has used the factor reasonably in light of the employer's stated purpose as well as its
35 other practices.”

36 Under the Equal Pay Act, the defendant at all times retains the burden of proving a
37 legitimate reason for the discrepancy in pay. *See Stanziale v. Jargowsky*, 200 F.3d 101, 107-08 (3d
38 Cir. 2000) (“Unlike the ADEA and Title VII claims, claims based upon the Equal Pay Act, 29
39 U.S.C. § 206 et seq., do not follow the three-step burden-shifting framework of *McDonnell*

11.2.4 Factor Other Than Sex

1 *Douglas*; rather, they follow a two-step burden-shifting paradigm.”); *Henderson v. Chartiers*
2 *Valley School*, 136 Fed. Appx. 456, 459 (3d Cir. 2005) (approving an instruction that the defendant
3 “must prove that a factor other than sex caused them to set the salaries that they did” and giving
4 no indication that the plaintiff has the burden of proving pretext by a preponderance of the
5 evidence).⁹ Accordingly, the instruction does not impose a burden on the plaintiff of proving
6 pretext by a preponderance of the evidence.

⁹ At least one district court opinion has stated that where the employer demonstrates that there is a legitimate reason for the discrepancy in pay, “the burden shifts back to the plaintiff to establish by a preponderance of the evidence that the reason for the disparity presented by defendant is only a pretext.” *Welde v. Tetley*, 864 F. Supp. 440 (M.D. Pa. 1994). But there is nothing in the Equal Pay Act to justify shifting the burden of disproving the affirmative defense to the defendant.

11.3.1 General Compensatory Damages

1 11.3.1 Equal Pay Act Damages — General Compensatory Damages

2

3 *No Instruction*

4

5 **Comment**

6 29 U.S.C. § 216(b) provides that recovery for an Equal Pay Act violation consists of the
7 amount of underpayment and “an additional equal amount as liquidated damages.” There is no
8 statutory authority for an award of damages such as for emotional distress, pain and suffering, or
9 lost opportunity. Accordingly, no instruction is provided.

10 It should be noted, however, that general compensatory damages are available if the cause
11 of action is for retaliation rather than unequal pay. A damages instruction for retaliation is provided
12 *infra*. See Instruction 11.3.7.

1 **11.3.2 Equal Pay Act Damages — Back Pay — Non-Willful Violations**

2 **Model**

3 If you find that [plaintiff] has proved by a preponderance of the evidence that she was paid
4 less than [name(s) of male employee(s)] for performing substantially equal work, [and if you find
5 that [defendant] has failed to show that the wage differential was based on a permissible factor on
6 which I previously instructed you] then you must award damages to [plaintiff]. [Plaintiff] has the
7 burden of proving the amount of those damages by a preponderance of the evidence.

8
9 *[Instruct as follows if the plaintiff's pay is compared to a single male employee:]*

10 You must award [plaintiff] the amount of damages that compensates her for the difference
11 between what she was paid (in both wages and benefits) and what [name of male employee] was
12 paid (in both wages and benefits) during the period starting [two years before the date the lawsuit
13 was filed] through the date of your verdict.]

14
15 *[Instruct as follows if the plaintiff's pay is compared to more than one male employee:]*

16 You must award [plaintiff] the amount of damages that compensates her for the difference
17 between what she was paid (in both wages and benefits) and the average amount of what [names
18 or job titles of male employees] were paid (in both wages and benefits) during the period starting
19 [two years before the date the lawsuit was filed] through the date of your verdict.]

20
21 [In assessing damages, you must not consider attorney fees or the costs of litigating this
22 case. Attorney fees and costs, if relevant at all, are for the court and not the jury to determine.
23 Therefore, attorney fees and costs should play no part in your calculation of any damages.]

24
25 **Comment**

26 The Equal Pay Act provides recovery for two years of wage differential if the defendant's
27 violation was non-willful; it extends the recovery of damages to a third year if the defendant's
28 violation is willful. 29 U.S.C. § 255(a). This instruction is to be used when the plaintiff does
29 not present evidence sufficient to create a jury question on whether the defendant acted willfully.
30 See 11.3.3 for an instruction covering a willful violation of the Equal Pay Act.

11.3.2 Back Pay – Non-Willful Violations

1 Where the plaintiff compares her salary to more than one male employee, most courts have
2 held that the proper amount of damages is the difference between the plaintiff’s pay and the
3 average amount of pay earned by the male comparables as a group. *See, e.g., Melanson v. Rantoul*,
4 536 F. Supp. 271 (D.R.I. 1982); *Bullock v. Pizza Hut, Inc.*, 429 F. Supp. 424, 431 (M.D. La. 1977)
5 (stating that applying averages “best serves the interest of justice”). At least one court, however,
6 has held that damages should be assessed by comparing the plaintiff’s wages “to the highest male
7 salary being paid for the job at the time of performance.” *Grimes v. Athens Newspaper, Inc.*, 604
8 F. Supp. 1166, 1168 (M.D. Ga. 1985). There appears to be no Third Circuit case law on this point.

9 *Attorney Fees and Costs*

10 There appears to be no uniform practice regarding the use of an instruction that warns the
11 jury against speculation on attorney fees and costs. In *Collins v. Alco Parking Corp.*, 448 F.3d
12 652 (3d Cir. 2006), the district court gave the following instruction: “You are instructed that if
13 plaintiff wins on his claim, he may be entitled to an award of attorney fees and costs over and
14 above what you award as damages. It is my duty to decide whether to award attorney fees and
15 costs, and if so, how much. Therefore, attorney fees and costs should play no part in your
16 calculation of any damages.” *Id.* at 656-57. The Court of Appeals held that the plaintiff had not
17 properly objected to the instruction, and, reviewing for plain error, found none: “We need not and
18 do not decide now whether a district court commits error by informing a jury about the availability
19 of attorney fees in an ADEA case. Assuming *arguendo* that an error occurred, such error is not
20 plain, for two reasons.” *Id.* at 657. First, “it is not ‘obvious’ or ‘plain’ that an instruction
21 directing the jury *not* to consider attorney fees” is irrelevant or prejudicial; “it is at least arguable
22 that a jury tasked with computing damages might, absent information that the Court has discretion
23 to award attorney fees at a later stage, seek to compensate a sympathetic plaintiff for the expense
24 of litigation.” *Id.* Second, it is implausible “that the jury, in order to eliminate the chance that
25 Collins might be awarded attorney fees, took the disproportionate step of returning a verdict
26 against him even though it believed he was the victim of age discrimination, notwithstanding the
27 District Court's clear instructions to the contrary.” *Id.*; *see also id.* at 658 (distinguishing *Fisher*
28 *v. City of Memphis*, 234 F.3d 312, 319 (6th Cir. 2000), and *Brooks v. Cook*, 938 F.2d 1048, 1051
29 (9th Cir. 1991)).

1 **11.3.3 Equal Pay Act Damages — Back Pay — Willful Violations**

2 **Model**

3 If you find that [plaintiff] has proved by a preponderance of the evidence that she was paid
4 less than [name(s) of male employee(s)] for performing substantially equal work, [and if you find
5 that [defendant] has failed to show that the wage differential was based on a permissible factor on
6 which I previously instructed you] then you must award damages to [plaintiff]. [Plaintiff] has the
7 burden of proving the amount of those damages by a preponderance of the evidence.

8 In this case, [plaintiff] alleges that [defendant] willfully violated the Equal Pay Act. If
9 [plaintiff] proves to you by a preponderance of the evidence that [defendant’s] violation of the
10 Equal Pay Act was willful, then this will have an effect on the damages that you must award. I will
11 explain this effect in a minute, but first I will provide you more information on what it means to
12 be a “willful” violation.

13 You must find [defendant’s] violation of the Equal Pay Act to be willful if [plaintiff] proves
14 by a preponderance of the evidence that [defendant] knew or showed reckless disregard for
15 whether [plaintiff’s] underpayment was prohibited by the law. To establish willfulness it is not
16 enough to show that [defendant] acted negligently. If you find that [defendant] did not know, or
17 knew only that the law was potentially applicable, and did not act in reckless disregard for whether
18 its conduct was prohibited by the law, then [defendant’s] conduct was not willful.

19
20 ***[Instruct as follows if the plaintiff’s pay is compared to a single male employee:]***

21 If you find that [defendant’s] violation was willful, then you must award [plaintiff] the
22 amount of damages that compensates her for the difference between what she was paid (in both
23 wages and benefits) and what [name of male employee] was paid (in both wages and benefits)
24 during the period starting [three years before the date the lawsuit was filed] through the date of
25 your verdict. However, if you find that [defendant’s] violation of the Equal Pay Act was not willful,
26 then you must award [plaintiff] the difference between what she was paid (in both wages and
27 benefits) and what [name of male employee] was paid (in both wages and benefits) during the
28 period starting [two years before the date the lawsuit was filed] through the date of your verdict.
29 In other words, [plaintiff] is entitled to damages for an extra year if she proves that [defendant’s]
30 violation was willful.]

31
32 ***[Instruct as follows if the plaintiff’s pay is compared to more than one male employee:]***

33 If you find that [defendant’s] violation was willful, then you must award [plaintiff] the
34 amount of damages that compensates her for the difference between what she was paid (in both
35 wages and benefits) and the average amount of what [names or job titles of male employees] were
36 paid (in both wages and benefits) during the period starting [three years before the date the lawsuit

11.3.3 Back Pay – Willful Violations

1 was filed] through the date of your verdict. However, if you find that [defendant’s] violation of the
2 Equal Pay Act was not willful, then you must award [plaintiff] the difference between what she
3 was paid (in both wages and benefits) and the average amount of what [names or job titles of male
4 employees] were paid (in both wages and benefits) during the period starting [two years before the
5 date the lawsuit was filed] through the date of your verdict. In other words, [plaintiff] is entitled
6 to damages for an extra year if she proves that [defendant’s] violation was willful.]

7
8 [In assessing damages, you must not consider attorney fees or the costs of litigating this
9 case. Attorney fees and costs, if relevant at all, are for the court and not the jury to determine.
10 Therefore, attorney fees and costs should play no part in your calculation of any damages.]

11 12 **Comment**

13 The Equal Pay Act provides recovery for two years of wage differential if the defendant’s
14 violation was non-willful; it extends the recovery of damages to a third year if the defendant’s
15 violation was willful. 29 U.S.C. § 255(a). This instruction is to be used when the plaintiff presents
16 evidence sufficient to create a jury question on whether the defendant acted willfully. See
17 Instruction 11.3.2 for the instruction to be used when there is insufficient evidence to create a jury
18 question on willfulness.

19 In *McLaughlin v. Richland Shoe Co.*, 486 U.S. 128, 133 (1988), the Court held that the
20 standard for “willfulness” under the Equal Pay Act is the same as the standard for “willfulness”
21 required for an award of liquidated damages under the ADEA. That standard is met if the employer
22 “either knew or showed reckless disregard” for the matter of whether its conduct violated the law.
23 This instruction accordingly uses that definition of “willfulness.” *See EEOC v. State of Delaware*
24 *Dept. of Health and Social Services*, 865 F.2d 1408, 1419 (3d Cir. 1989) (affirming a jury verdict
25 on willfulness, and an award for a third year of damages, where the jury could have found that a
26 personnel director “must have entertained a strong suspicion of an Equal Pay Act violation which,
27 with the most cursory investigation, would have led to actual knowledge.”). *See also Trans World*
28 *Airlines, Inc. v. Thurston*, 469 U.S. 111 (1985) (adopting the standard of “either knew or showed
29 reckless disregard” for determination of “willfulness” under the liquidated damages provision of
30 the ADEA).

31 Where the plaintiff compares her salary to more than one male employee, most courts have
32 held that the proper amount of damages is the difference between the plaintiff’s salary and the
33 average amount of salary earned by the male comparables as a group. *See Melanson v. Rantoul*,
34 536 F. Supp. 271 (D.R.I. 1982); *Bullock v. Pizza Hut, Inc.*, 429 F. Supp. 424, 431 (M.D. La. 1977)
35 (stating that applying averages “best serves the interest of justice”). At least one court, however,
36 has held that damages should be assessed by comparing the plaintiff’s wages “to the highest male
37 salary being paid for the job at the time of performance.” *Grimes v. Athens Newspaper, Inc.*, 604
38 F. Supp. 1166, 1168 (M.D. Ga. 1985). There appears to be no Third Circuit case law on this point.

11.3.3 Back Pay – Willful Violations

1 *Attorney Fees and Costs*

2 There appears to be no uniform practice regarding the use of an instruction that warns the
3 jury against speculation on attorney fees and costs. In *Collins v. Alco Parking Corp.*, 448 F.3d
4 652 (3d Cir. 2006), the district court gave the following instruction: “You are instructed that if
5 plaintiff wins on his claim, he may be entitled to an award of attorney fees and costs over and
6 above what you award as damages. It is my duty to decide whether to award attorney fees and
7 costs, and if so, how much. Therefore, attorney fees and costs should play no part in your
8 calculation of any damages.” *Id.* at 656-57. The Court of Appeals held that the plaintiff had not
9 properly objected to the instruction, and, reviewing for plain error, found none: “We need not and
10 do not decide now whether a district court commits error by informing a jury about the availability
11 of attorney fees in an ADEA case. Assuming *arguendo* that an error occurred, such error is not
12 plain, for two reasons.” *Id.* at 657. First, “it is not ‘obvious’ or ‘plain’ that an instruction
13 directing the jury *not* to consider attorney fees” is irrelevant or prejudicial; “it is at least arguable
14 that a jury tasked with computing damages might, absent information that the Court has discretion
15 to award attorney fees at a later stage, seek to compensate a sympathetic plaintiff for the expense
16 of litigation.” *Id.* Second, it is implausible “that the jury, in order to eliminate the chance that
17 Collins might be awarded attorney fees, took the disproportionate step of returning a verdict
18 against him even though it believed he was the victim of age discrimination, notwithstanding the
19 District Court's clear instructions to the contrary.” *Id.*; *see also id.* at 658 (distinguishing *Fisher v.*
20 *City of Memphis*, 234 F.3d 312, 319 (6th Cir. 2000), and *Brooks v. Cook*, 938 F.2d 1048, 1051 (9th
21 Cir. 1991)).

1 **11.3.4 Equal Pay Act Damages — Liquidated Damages**

2
3 *No Instruction*

4
5 **Comment**

6 29 U.S.C. § 216 provides for an automatic doubling of back pay damages awarded for a
7 violation of the Equal Pay Act. No instruction is necessary on liquidated damages, because there
8 is no issue for the jury to decide concerning the availability or amount of liquidated damages. The
9 court simply doubles the award of back pay damages found by the jury.

10 It should be noted that 29 U.S.C. § 260 provides that “if the employer shows to the
11 satisfaction of the court that the act or omission giving rise to such action was in good faith and
12 that he had reasonable grounds for believing that his act or omission was not a violation of the
13 [Equal Pay Act], the court may, in its sound discretion” reduce the award of liquidated damages
14 (but not the underlying damages award). No instruction is necessary on good faith, either, because
15 the question of good faith in this circumstance is a question for “the court.” The jury has no
16 authority to reduce an award of liquidated damages under the Equal Pay Act. *See, e.g., Glenn v.*
17 *General Motors Corp.*, 841 F.2d 1567, 1573 (11th Cir. 1988) (“An employer may avoid the
18 mandatory nature of an award of liquidated damages if the court chooses not to make an award
19 where the employer shows its actions were in good faith and shows it has reasonable grounds for
20 believing that those actions did not violate the Equal Pay Act.”); *Reich v. Tiller Helicopter*
21 *Services, Inc.*, 8 F.3d 1018, 1031 (5th Cir. 1993) (question of “good faith under 29 U.S.C. § 260
22 is an issue that the court must resolve”).

1 **11.3.5 Equal Pay Act Damages — Front Pay**

2

3 *No Instruction*

4

5 **Comment**

6 Front pay is a substitute remedy when reinstatement is not feasible. *See* the commentary to
7 Instruction 8.4.4. An Equal Pay Act claim does not involve the plaintiff’s discharge.
8 Accordingly, front pay cannot be awarded under the Equal Pay Act. *See* 29 U.S.C. § 216(b), which
9 provides that recovery for an Equal Pay Act violation consists of the amount of underpayment and
10 “an additional equal amount as liquidated damages” with no mention of front pay.

1 **11.3.6 Equal Pay Act Damages — Nominal Damages**

2

3 *No Instruction*

4

5 **Comment**

6 The gravamen of an Equal Pay Act claim (as distinct from a retaliation claim under the
7 Equal Pay Act) is that the plaintiff was paid less than male employees for equal work. Therefore
8 it would seem impossible for a jury to find that the defendant violated the plaintiff's rights under
9 the Equal Pay Act and yet the plaintiff is entitled only to nominal damages. Accordingly, no
10 instruction is included.

1 **11.3.7 Equal Pay Act Damages — Damages for Retaliation**

2 **Model**

3 If you find that [plaintiff] has proved by a preponderance of the evidence that she suffered
4 an adverse employment action as a result of [describe protected activity] then you must determine
5 the amount of damages suffered by [plaintiff] as a result of [defendant’s] retaliation. Damages for
6 retaliation are distinct from any damages [plaintiff] may be entitled to for having been paid a lower
7 wage than male employees for equal work. [Plaintiff] has the burden of proving damages from the
8 retaliation by a preponderance of the evidence.

9 The following are the kinds of damages that the law may allow a plaintiff to recover when
10 an employer retaliates against the plaintiff for engaging in protected activity under the Equal Pay
11 Act:

- 12 1. Compensatory damages.
13 2. Nominal damages.
14 3. Punitive damages.

15 Not all of these damages are necessarily available in any single action. Remember that
16 [plaintiff] has the burden of proving by a preponderance of the evidence that she is entitled to any
17 of the damages that the law makes potentially available to her for an act of retaliation.

18 I will now instruct you on each of the kinds of damages that are potentially recoverable by
19 [plaintiff] for an act of retaliation.

20
21 ***Compensatory damages:***

22 If you find [defendant] liable for retaliation, then you must consider the issue of
23 compensatory damages. You must award [plaintiff] an amount that will fairly compensate her for
24 any injury she actually sustained as a result of [defendant’s] conduct. The damages that you award
25 must be fair compensation, no more and no less. The award of compensatory damages is meant to
26 put [plaintiff] in the position [he/she] would have occupied if the retaliation had not occurred.

27 [Plaintiff] must show that the damage she claimed would not have occurred without
28 [defendant’s] retaliation. [Plaintiff] must also show that [defendant’s] act of retaliation played a
29 substantial part in bringing about the injury, and that the injury was either a direct result or a
30 reasonably probable consequence of [defendant’s] act of retaliation. [There can be more than one
31 cause of an injury. To find that [defendant’s] retaliation caused [plaintiff’s] injury, you need not
32 find that [defendant’s] act was the nearest cause, either in time or space. However, if [plaintiff’s]
33 claimed injury was caused by a later, independent event that intervened between [defendant’s] act
34 of retaliation and [plaintiff’s] injury, [defendant] is not liable unless the injury was reasonably

11.3.7 Damages for Retaliation

1 foreseeable by [defendant].]

2 Compensatory damages must not be based on speculation or sympathy. They must be
3 based on the evidence presented at trial, and only on that evidence.

4 [Plaintiff] claims the following items of damages *[include any of the following that are*
5 *warranted by the evidence]*:

6 • Physical harm to [plaintiff] during and after the events at issue, including ill health,
7 physical pain, disability, or discomfort, and any such physical harm that [plaintiff] is
8 reasonably certain to experience in the future. In assessing such harm, you should
9 consider the nature and extent of the injury and whether the injury is temporary or
10 permanent.

11 • Emotional and mental harm to [plaintiff] during and after the events at issue, including
12 humiliation, and mental anguish, and any such emotional and mental harm that [plaintiff]
13 is reasonably certain to experience in the future.

14 • The reasonable value of the medical [psychological, hospital, nursing, and similar] care
15 and supplies that [plaintiff] reasonably needed and actually obtained, and the reasonable
16 value of such care and supplies that [plaintiff] is reasonably certain to need in the future.

17 • The [wages, salary, profits, reasonable value of the working time] that [plaintiff] has lost
18 because of [defendant's] retaliation, and the [wages, etc.] that [plaintiff] is reasonably
19 certain to lose in the future because of that retaliation.¹⁰

20 As I instructed you previously, [plaintiff] has the burden of proving damages by a
21 preponderance of the evidence. But the law does not require that [plaintiff] prove the amount of
22 her losses with mathematical precision; it requires only as much definiteness and accuracy as
23 circumstances permit.

24 [You are instructed that [plaintiff] has a duty under the law to "mitigate" her damages--that
25 means that [plaintiff] must take advantage of any reasonable opportunity that may have existed
26 under the circumstances to reduce or minimize the loss or damage caused by [defendant]. So if
27 [defendant] persuades you by a preponderance of the evidence that [plaintiff] failed to take
28 advantage of an opportunity that was reasonably available to her, then you must reduce the amount
29 of [plaintiff's] damages by the amount that could have been reasonably obtained if she had taken
30 advantage of such an opportunity.]

31 [In assessing damages, you must not consider attorney fees or the costs of litigating this
32 case. Attorney fees and costs, if relevant at all, are for the court and not the jury to determine.

¹⁰ If the court orders the plaintiff's reinstatement, then the instruction on future lost wages should not be given.

11.3.7 Damages for Retaliation

1 Therefore, attorney fees and costs should play no part in your calculation of any damages.]

2

3 ***Nominal Damages:***

4 If you return a verdict for [plaintiff] on her retaliation claim, but [plaintiff] has failed to
5 prove actual injury resulting from the act of retaliation and therefore is not entitled to compensatory
6 damages, then you must award nominal damages of \$ 1.00.

7 A person whose federal rights were violated is entitled to a recognition of that violation,
8 even if she suffered no actual injury. Nominal damages (of \$1.00) are designed to acknowledge
9 the deprivation of a federal right, even where no actual injury occurred.

10 However, if you find actual injury, you must award compensatory damages (as I instructed
11 you), rather than nominal damages.

12

13 ***Punitive Damages:***

14 [Plaintiff] claims that [defendant's] act of retaliation was done with malice or reckless
15 indifference to [plaintiff's] federally protected rights and that as a result there should be an award
16 of what are called "punitive" damages. A jury may award punitive damages to punish a defendant,
17 or to deter the defendant and others like the defendant from committing such conduct in the future.
18 [Where appropriate, the jury may award punitive damages even if the plaintiff suffered no actual
19 injury, and so received nominal rather than compensatory damages.]

20 An award of punitive damages is permissible in this case only if you find by a
21 preponderance of the evidence that a management official of [defendant] personally acted with
22 malice or reckless indifference in retaliating against [plaintiff] after she [describe protected
23 activity]. An action is with malice if a person knows that it violates the federal law prohibiting
24 retaliation and does it anyway. An action is with reckless indifference if taken with knowledge that
25 it may violate the law.

26

27 **[For use where the defendant raises a jury question on good-faith attempt to comply with**
28 **the law:**

29 But even if you make a finding that there has been an act of retaliation with malice or
30 reckless disregard of [plaintiff's] federal rights, you cannot award punitive damages if [defendant]
31 proves by a preponderance of the evidence that it made a good-faith attempt to comply with the
32 law, by adopting policies and procedures designed to prevent unlawful retaliation such as that
33 suffered by [plaintiff].]

11.3.7 Damages for Retaliation

1 An award of punitive damages is discretionary; that is, if you find that the legal
2 requirements for punitive damages are satisfied [and that [defendant] has not proved that it made
3 a good-faith attempt to comply with the law], then you may decide to award punitive damages, or
4 you may decide not to award them. I will now discuss some considerations that should guide
5 your exercise of this discretion.

6 If you have found the elements permitting punitive damages, as discussed in this
7 instruction, then you should consider the purposes of punitive damages. The purposes of punitive
8 damages are to punish a defendant for a malicious or reckless disregard of federal rights, or to
9 deter a defendant and others like the defendant from doing similar things in the future, or both.
10 Thus, you may consider whether to award punitive damages to punish [defendant]. You should
11 also consider whether actual damages standing alone are sufficient to deter or prevent [defendant]
12 from again performing any wrongful acts it may have performed. Finally, you should consider
13 whether an award of punitive damages in this case is likely to deter others from performing
14 wrongful acts similar to those [defendant] may have committed.

15 If you decide to award punitive damages, then you should also consider the purposes of
16 punitive damages in deciding the amount of punitive damages to award. That is, in deciding the
17 amount of punitive damages, you should consider the degree to which [defendant] should be
18 punished for its wrongful conduct, and the degree to which an award of one sum or another will
19 deter [defendant] or others from committing similar wrongful acts in the future.

20 [The extent to which a particular amount of money will adequately punish a defendant, and
21 the extent to which a particular amount will adequately deter or prevent future misconduct, may
22 depend upon the defendant’s financial resources. Therefore, if you find that punitive damages
23 should be awarded against [defendant], you may consider the financial resources of [defendant] in
24 fixing the amount of those damages.]

26 **Comment**

27 29 U.S.C. § 216(b) provides for the following recovery for a violation of the anti-retaliation
28 provision of the Equal Pay Act (or the Fair Labor Standards Act):

29 “such legal or equitable relief as may be appropriate to effectuate the purposes of [the anti-
30 retaliation provision] including without limitation employment, reinstatement, promotion,
31 and the payment of wages lost and an additional equal amount as liquidated damages.”

32 The instruction authorizes the jury to award all of the damages generally awarded for an
33 injury at common law. Any other remedies, such as reinstatement or promotion, are equitable
34 remedies left for the court. *See generally Brock v. Richardson*, 812 F.2d 121, 123 (3d Cir. 1987)
35 (stating that the anti-retaliation provision is to be “liberally interpreted” and affirming an award of
36 back pay).

37 In *Marrow v. Allstate Sec. & Investigative Services, Inc.*, 167 F. Supp. 2d 838, 841 (E.D.

11.3.7 Damages for Retaliation

1 Pa. 2001), the court held that punitive damages could be awarded for a violation of the anti-
2 retaliation provision of the Fair Labor Standards Act, 29 U.S.C. § 215, which applies to the Equal
3 Pay Act as well. The court noted that section 216 “authorizes ‘legal’ relief, a term commonly
4 understood to include compensatory and punitive damages.” *See also Travis v. Gary Community*
5 *Mental Health Center*, 921 F.2d 108, 112 (7th Cir. 1990) (“Compensation for emotional distress,
6 and punitive damages, are appropriate for intentional torts such as retaliatory discharge.”).

7 If the jury awards lost wages for retaliation, it is for the court to double the amount as
8 liquidated damages, subject to reduction by the court if the defendant proves that the violation was
9 in good faith. *See* the Comment to Instruction 11.3.4.

10 *Attorney Fees and Costs*

11 There appears to be no uniform practice regarding the use of an instruction that warns the
12 jury against speculation on attorney fees and costs. In *Collins v. Alco Parking Corp.*, 448 F.3d
13 652 (3d Cir. 2006), the district court gave the following instruction: “You are instructed that if
14 plaintiff wins on his claim, he may be entitled to an award of attorney fees and costs over and
15 above what you award as damages. It is my duty to decide whether to award attorney fees and
16 costs, and if so, how much. Therefore, attorney fees and costs should play no part in your
17 calculation of any damages.” *Id.* at 656-57. The Court of Appeals held that the plaintiff had not
18 properly objected to the instruction, and, reviewing for plain error, found none: “We need not and
19 do not decide now whether a district court commits error by informing a jury about the availability
20 of attorney fees in an ADEA case. Assuming *arguendo* that an error occurred, such error is not
21 plain, for two reasons.” *Id.* at 657. First, “it is not ‘obvious’ or ‘plain’ that an instruction
22 directing the jury *not* to consider attorney fees” is irrelevant or prejudicial; “it is at least arguable
23 that a jury tasked with computing damages might, absent information that the Court has discretion
24 to award attorney fees at a later stage, seek to compensate a sympathetic plaintiff for the expense
25 of litigation.” *Id.* Second, it is implausible “that the jury, in order to eliminate the chance that
26 Collins might be awarded attorney fees, took the disproportionate step of returning a verdict
27 against him even though it believed he was the victim of age discrimination, notwithstanding the
28 District Court's clear instructions to the contrary.” *Id.*; *see also id.* at 658 (distinguishing *Fisher*
29 *v. City of Memphis*, 234 F.3d 312, 319 (6th Cir. 2000), and *Brooks v. Cook*, 938 F.2d 1048, 1051
30 (9th Cir. 1991)).